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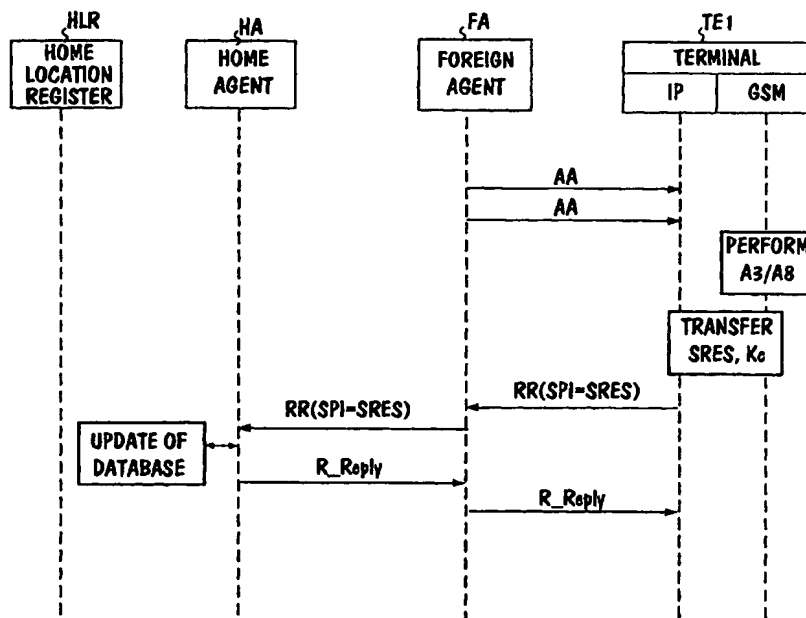
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(54) Title: AUTHENTICATION IN A TELECOMMUNICATIONS NETWORK

## (57) Abstract

The invention relates to an authentication method intended for a telecommunications network, especially for an IP network. From a terminal (TE1) in the network a first message (RR) containing an authenticator and a data unit is transmitted to the network, the data unit containing information relating to the manner in which the authenticator is formed. For carrying out authentication in the network, the data unit contained in the first message is used for determining a check value, which is compared with the said authenticator. To make it unnecessary for the terminal to perform any complicated and heavy exchange of messages when attaching to the network and for still obtaining the desired security characteristics for use, such an identification unit is used in the terminal which receives as input a challenge from which a response and a key can be determined essentially in the same manner as in the subscriber identity module of a known mobile communications system, a set of authentication blocks is generated into the network, of which each contains a challenge, a response, and a key, whereby the generation is performed in the same manner as in the said mobile communication system, at least some of the challenges contained by the authentication blocks are transmitted to the terminal,

one of the challenges is chosen for use at the terminal, and, based on it, a response and a key for use are determined with the aid of the terminal's identification unit, in the said first message (RR) the network is notified with the aid of the said data unit of which key corresponding to which challenge was chosen, and the authenticator of the first message and the said check value are determined with the aid of the chosen key.



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## **Authentication in a telecommunications network**

### **Field of the invention**

The invention relates generally to implementation of authentication in  
5 a telecommunications network, especially in an IP network (IP = Internet  
Protocol). Authentication means verification of the identity of the party, such as  
the subscriber, who has generated the data. Using authentication it is also  
possible to guarantee integrity and confidentiality of the said data. Authentica-  
10 tion may be performed for various purposes, such as for checking the right of  
use of network services. The invention is intended for use especially in con-  
nection with mobile terminals, but the solution according to the invention may  
also be used in connection with fixed terminals.

### **Background of the invention**

15 The increasing popularity of various kinds of mobile terminals, such as  
laptops, PDA (Personal Digital Assistant) equipment or intelligent telephones is  
a current trend in telecommunications.

In traditional IP networks, mobile users have not been able to receive  
data outside their own IP sub-network, because the network's routers have not  
20 been able to relay datagrams to the user's new place of location. Since this  
reduces considerably the usefulness of mobile terminals, properties supporting  
mobility have been developed for the IP protocol. The Mobile IP (hereinafter  
MIP) is a mechanism for controlling the user's mobility between different IP  
sub-networks. MIP is a version of the existing IP which supports the mobility of  
25 terminal equipment.

MIP is based on the idea that every mobile host or mobile node has  
an allocated agent ("home agent"), which relays packets to the current location  
of the mobile node. When a mobile node is moving from one sub-network to  
another, it registers with the agent ("foreign agent") serving the current sub-  
30 network. The last-mentioned performs checks together with the mobile node's  
home agent, it registers the mobile node and transmits the registration infor-  
mation to it. Packets addressed to the mobile node are transmitted to the  
mobile node's original place of location (to the home agent in the own sub-  
network), from where they are relayed further to the current foreign agent,  
35 which transmits them further to the mobile node. Since the present invention is  
not concerned with the MIP, this protocol will not be explained further in this  
context. The MIP principle is described e.g. in RFC 2002, October 1996

(Request For Comments) or in an article by Upkar Varshney, *Supporting Mobility with Wireless ATM*, Internet Watch, January 1997, where the interested reader will find background information, if he so desires.

5 As was mentioned above, registration is carried out with the home agent located in the home sub-network, when the user is visiting some other IP sub-network. In connection with the registration the home agent authenticates the user. In other words, the home agent ensures the identity of the party who has sent the registration request.

10 However, management of the keys needed for authentication is a serious problem on the Internet. Various systems have been developed to improve the information security properties of the network by which users may send the information encrypted to the other party. One such system is Kerberos, which is a service by which network users and services may authenticate one another and with which users and services may set up encrypted data  
15 transmission connections between each other. However, such systems are mainly intended for fixed terminals, they are complex and heavy, and they require either registration beforehand as users of the concerned systems or at least heavy communication between the parties before the a terminal is allowed to transmit payload information.

20

#### **Summary of the invention**

The purpose of the invention is to eliminate the drawback described above and to bring about a solution, with which a terminal is able to start useful traffic quickly after having connected to the network.

25 This purpose is achieved with the solution defined in the independent claims.

In the invention, a procedure known from the mobile communications network context is used to generate the common secret shared by the network and a terminal located in the network, whereby when the terminal connects to  
30 the network it can perform a normal registration (demanding only little exchange of messages), in connection with which information will pass which indicates the secret in question. The invention is based on the idea that the SPI index (Security Parameter Index) defined in MIP, which is a pointer normally pointing to a data unit including various information relating to the manner of carrying out the authentication, can be used solely for indicating the said  
35 secret, while letting other such matters be predetermined constants, which can be indicated by the SPI parameter. In this way, means known from the mobile

communications network can be used for generating the secret in question.

Owing to the solution in accordance with the invention, a terminal is able, when attaching to the network, to start a useful traffic very easily and without any heavy or time-consuming exchange of messages. Even if the  
5 secret between the terminal and the network is not defined exactly in advance, only a normal MIP registration is needed in connection with the attachment to the IP network. In addition, the security level is improved, because the secret between the parties is no longer a fixed key but one that changes dynamically.

Owing to the solution in accordance with the invention, those ISP  
10 operators who also provide mobile station services need not separately procure any key management system for the IP network, but they can use also for this purpose the characteristics of the mobile communications network they are operating.

#### 15           **Brief description of the figures**

In the following, the invention and its advantageous embodiments are described in greater detail with reference to Figures 1...5 in the examples according to the appended drawings, wherein

Figure 1 illustrates an operating environment of the method in accordance with  
20 the invention;

Figure 2 illustrates an exchange of messages going on between various elements;

Figure 3 illustrates the structure of registration messages to be transmitted between a mobile node and the home agent;

25 Figure 4 illustrates the structure of the authentication extension of the registration message; and

Figure 5 illustrates those functional blocks of the terminal which are essential from the viewpoint of the invention.

#### 30           **Detailed description of the invention**

Figure 1 shows a typical operating environment of the method according to the invention. Users moving in the system's area have laptops or other such terminals, e.g. PDA equipment or intelligent telephones, at their disposal. The figure illustrates only one terminal TE1, which in this example is  
35 assumed to be a laptop. Two IP sub-networks are shown in the figure: the first is a LAN1 local area network, e.g. an Ethernet local area network, which is connected to the Internet through router R1, and the second is a LAN2 local

area network, which is connected to the Internet through router R2. The local area networks may be e.g. internal networks of business corporations.

The terminals have access to the sub-networks by way of access points AP1 and, correspondingly, AP2 in a manner known as such, e.g. in a wireless manner, as is shown in the figure. It is assumed in the figure that terminal TE1 is in connection with the local area network LAN1.

The terminal typically includes access means both to the local area network (to the IP network) and to the GSM mobile communications network (Global System for Mobile Communications). Access to the local area network takes place e.g. with the aid of a LAN card in the terminal and to the GSM network with the aid of a GSM card, which in practice is a stripped telephone located e.g. in the laptop's PCMCIA expansion slot. In addition, a SIM (Subscriber Identity Module) is connected to the GSM card.

As regards the GSM network the invention does not require any special solutions, so the GSM network's implementation is known as such. Of the GSM network the figure shows terminal TE1, three base transceiver stations BTS1...BTS3, their common base station controller BSC1, a mobile services switching centre MSC, through the system interface of which the mobile communications network is connected with other networks, and a home location register HLR, in connection with which there is an authentication centre AuC. In addition, the figure shows a short message switching centre SMSC, which is used in one embodiment of the invention.

The figure also shows the home agent HA of terminal TE1, which is located in connection with the router R3 connected to the Internet. In practice, the organisation owning the home agent often functions not only as an ISP (Internet Service Provider) operator but also as a mobile communications operator, for which reason it is possible from the home agent HA freely to establish a connection to the mobile communications network. In practice, the router, which has a home agent function, and the home location register may be located e.g. in the same equipment premises.

In an environment of the kind shown in the figure, the user may (using known MIP mechanisms) move freely (without any interruption in the communication) from one IP sub-network to another. In addition, the data connection can be preserved with the aid of the GSM network, although the terminal moves out of the coverage area of the (wireless) local area network. In this way the local area networks form local areas (so-called hot spots), wherein the terminal has a high rate data connection, and when the user moves out of the

area of the local area network, the connection can be preserved owing to the GSM network. Since these procedures are known as such and they are not related to the invention proper, they will not be described more closely in this context.

5 In accordance with the invention, authentication mechanisms of the GSM network are used in the authentication performed in connection with the registration of the terminal. In the following, registration and the authentication to be performed in the same connection are described first.

10 Figure 2 shows an example of an exchange of messages to take place in connection with the registration. According to the MIP protocol, the foreign agent FA is constantly sending broadcast messages to its own sub-network which are called by the name of "agent advertisement" and which are indicated by the reference mark AA in the figure. When the terminal connects to the said sub-network, it receives these messages and based on them it  
15 concludes whether it is in its own home network or in some other network. If the terminal finds that it is in its home network, it will function without any mobility services. Otherwise the terminal will get a c/o address (a care-of address) to the concerned foreign network. This address is the address of that point in the network to which the terminal is connected temporarily. This ad-  
20 dress at the same time forms the termination point of a tunnel leading to the said terminal. The terminal typically gets the address from the above-mentioned broadcast messages sent by the foreign agent. Then the terminal transmits to its own home agent a registration request RR through foreign agent FA. The message contains, among other things, the c/o address just  
25 obtained by the terminal. Based on the received registration request, the home agent updates the location information of the concerned terminal in its data-base and sends to the terminal a registration reply R\_Reply through the foreign agent. The reply message contains all the necessary information about how (on what conditions) the home agent has approved of the registration  
30 request.

The mobile node may also register directly with the home agent. The above-mentioned RFC describes the rules by which a mobile node will register either directly with the home agent or through the foreign agent. If the mobile node obtains a c/o address in the manner described above, then registration  
35 must always take place through the foreign agent.

All the messages mentioned above between the terminal, the foreign agent and the home agent are messages in accordance with the MIP protocol.

The following is a description in greater detail of how these messages are used in the present invention.

5 The authentication to be carried out in connection with the registration is based on a hash value computed from the registration message. The computation uses the secret shared by the network and the user. The MIP contains a Mobility Security Association defined for mobile nodes which the nodes may use for agreeing with each other on which security characteristics to use. The mobility security association includes a set of contexts, each context stating the authentication algorithm, the mode in which the said algorithm is used, the secret mentioned (e.g. a key or pair of keys) and the manner in which to protect against so-called replay attacks. The mobile nodes choose a certain context for use with the above-mentioned security parameter index SPI, which indicates the context to be used at each time.

10 A special extension mechanism is defined in the MIP by which so-called extensions are added to the MIP control messages or to the ICMP (Internet Control Message Protocol) messages, in which extensions optional information can be transmitted.

15 The registration request and reply (RR and R\_Reply, Figure 2) use the UDP protocol (User Datagram Protocol). Figure 3 shows the general structure of the headers of these registration messages. The IP and UDP headers are followed by MIP fields including a type field 31, which tells the type of the MIP message, a code field 32, which in the case of a registration request tells various information relating to the mobile node and the registration request and in the case of a registration reply tells the result of the registration request, a lifetime field 33, which tells the time of validity of the request or the accepted registration, a home address field 34, which contains the IP address of the mobile node, a home agent field 35, which contains the IP address of the mobile node's home agent, and an identification field 37, which contains a number connecting together the request and the related reply. The registration request also contains a c/o address field 36 indicating the IP address of the termination point of the above-mentioned tunnel (the registration reply does not contain this field).

20 The fixed part of the header described above is followed by the above-mentioned extensions. Special extensions (authentication extensions) are provided for authentication. E.g. registration messages between the mobile node and its home agent are authenticated with the aid of a Mobile-Home Authentication Extension, which is especially reserved for this purpose and



which must be present in all registration requests and in all registration replies. (On the other hand, the Mobile-Foreign Authentication Extension used between the mobile node and the foreign agent is present in registration requests and replies only if there is a mobility security association between the mobile node and the foreign agent.)

Figure 4 illustrates the structure of an extension used between a mobile node and its home agent. The extension contains the type information indicating the type of extension, length information indicating the total length of the extension, the SPI index, the length of which is 4 bytes, and the authenticator, the length of which may vary and has a default value of 128 bits.

In the authentication, the default algorithm is the known MD5 algorithm in the so-called prefix+suffix mode. MD5 is an algorithm which from a message of an arbitrary length computes a 128-bit long digest, which is the above-mentioned check or hash value and which in this case functions as the authenticator on which the authentication is based. The prefix+suffix mode means that in the bit string from which the authenticator is computed there is first and last a secret (e.g. a common key) which is common to the network and the mobile node. In the authentication extension the SPI index is used to state which context is used. The context for its part indicates how the authenticator (hash value) should be formed. The authenticator is transmitted to the peer in the authentication extension (Figures 3 and 4), whereby the peer is able with the aid of the SPI independently to form the authenticator and to compare it with the received authenticator.

The invention utilises the characteristics of a mobile communications network, especially of the GSM network, to form the shared secret in the following manner.

Home agent HA fetches from the authentication centre AuC located in connection with the home location register HLR of the mobile communications network a set of subscriber-specific authentication triplets, each of which contains a challenge (RAND), a signed response (SRES) and a key Kc (a connection-specific encryption key) in a known manner. The subscriber-specific information can be fetched e.g. in such a way that IMSIs (International Mobile Subscriber Identities) corresponding to the IP addresses of the terminals are stored in the home agent for inquiries. Transmission of the authentication triplets may be performed in any known manner, e.g. by providing the authentication centre with a TCP/IP stack and by transferring the triplets to the home agent in one or more IP datagrams. As mentioned above, the home

agent and the home location register as well as the authentication centre are typically owned by the same operator and they may be located e.g. in the same room, which means that the said transmission connection is secure. What is essential is only the circumstance that the home agent receives a  
5 signed response and a key  $K_c$  from the authentication triplets. Thus, it is possible even not to transfer the challenges. Home agent HA stores the authentication triplets with itself.

In addition, the challenges (RANDs) which the authentication triplets contain are transferred further to the mobile node (to terminal TE1) in some  
10 suitable existing manner of transmission. The transmission may be performed either by the home agent on receiving the authentication triplets or by the HLR/AuC in response to the authentication triplet request sent by the home agent. One alternative is to transfer the challenges by using a short message from HLR/AuC by way of the short-message switching centre SMSC to the  
15 terminal. Another alternative is to transmit the challenges through the Internet in an IP datagram. However, if the terminal has not yet been in connection with the IP network even once, the transmission must be carried out using the GSM network (by a short message). Another alternative in such a case is to make an agreement to the effect that in connection with the first registration some  
20 predetermined RAND value is used, whereby the transmission of challenges can then be carried out through the IP network.

The mechanisms for transmitting authentication triplets and challenges are not essential from the viewpoint of the invention, but any known technique can be used in the transmission. The number of authentication  
25 triplets and challenges which must be fetched and transmitted in one go depends on which transmission mechanism is used. E.g. the maximum length of a short message (160 characters) limits the number of challenges transmitted at a time to ten, since the challenge length is 16 bytes.

When the mobile node TE1 wants to perform a MIP registration, one  
30 of the concerned challenges is chosen for use in the mobile node, whereupon the known A3 and A8 algorithms are performed on the SIM card using the said challenge (compare to Figure 2). This results in a response (SRES) and a key  $K_c$ , of which the former has a length of 32 bits and the latter has a length of 64 bits. In the above-mentioned registration request message RR the just computed  
35 SRES value is then sent as the SPI parameter (compare with Figures 2 and 4) and the obtained key  $K_c$  is used as the above-mentioned secret, on the basis of which the authenticator is computed which is included in the registra-

tion request message. As mentioned above, 32 bits is exactly the length of SPI defined in MIP. Having received the SRES value the home agent finds which challenge the user has chosen, whereby it may choose the corresponding Kc and perform a check of the authenticator.

5           Thus, the exchange of registration messages takes place in an otherwise known manner, except that the value of the calculated response is transmitted in the SPI field of the registration request message and, in addition, the secret used in computing the authenticator is the key generated with the aid of the mobile communications system (the connection-specific encryption key Kc generated in the GSM system).

10           The authentication triplets may also be stored e.g. in connection with the HLR/AuC or in some third place without transmitting them to the home agent. Hereby the procedure is such that on receiving the registration request message the home agent inquires from the place where the authentication triplets are stored which key was in question. However, this requires a secure connection between the home agent and the place of storage.

15           The MIP determines the permissible SPI values; the values 0...255 are reserved and they may not be used in any security association. If the challenge produces such a SRES value which is not permissible as a SPI value, the challenge in question must be rejected. Such a logic can be constructed in connection with the HLR/AuC which will filter away such challenges that would produce a non-permissible value. Alternatively, such a logic can be constructed at the end of the mobile node. Hereby the mobile node will not transmit such registration requests wherein the SRES value corresponds to a non-permissible SPI value.

20           It is possible that of the challenges intended for or already transmitted to the terminal two or more are such which give the same SRES value. If this happens, the used secret has not been unambiguously defined. Hereby all except one of the concerned challenges are rejected. This logic may be in connection with the HLR/AuC or in the terminals.

25           Figure 5 illustrates those functional blocks of a terminal which are essential to the invention. The figure shows only one interface to the network (IP network). The challenges from the network arrive at the message transmission and reception block MEB, from which they are stored in the memory block MB. The selection block SB selects one of the stored challenges and inputs it to the SIM card. The response obtained as a result is input to transmission and reception block MEB, which inserts the response in the field reserved for SPI

of the outgoing registration request message. The authentication block AB defines an authenticator for outgoing messages using the key Kc obtained from the SIM module, and it carries out authentication of incoming messages using the said key.

5       Authentication in the GSM network is based on a comparison of a 32-bit SRES value. Since the invention uses a 64-bit key Kc for the authentication, the security level of the authentication exceeds the GSM level. The encryption key Kc is not useful as such from MIP's viewpoint, but it only forms the secret shared by the mobile node and the network. However, if the achieved  
10       authentication security level is considered too poor, it is possible to use e.g. a key Kc produced by two successive RANDs as the secret.

      In order to prevent a so-called replay attack, it is possible to use means defined in the MIP, a time stamp or a nonce, both of which use the identification field described above. When using a time stamp, an adequate  
15       synchronisation of the clocks used by the user and the network must be ensured separately. However, the procedure to be used must be chosen in advance, since it can not be made known using the SPI.

      Alternatively, such a procedure can be used which ensures that the used challenges are unique, whereby the network may not transmit or accept  
20       any challenges which have already been used. This requires additional functionality in the HLR/AuC or in the home agent (or in both), so that they will not accept a challenge which has already been used.

      The invention may also be used without a mobile communications network, because it suffices for the system to include a network element able  
25       to form authentication triplets in the same way as the HLR/AuC. Thus, the terminals may also be fixed. If a mobile communications network is not utilised, it is not possible to use a short message for transmitting the first set of challenges, but the first registration must be performed e.g. by using a challenge value agreed upon in advance.

30       The challenge value need not be transmitted from the terminal, but it is sufficient that the home agent learns which key has been chosen for use. This message can be given e.g. so that the challenges are sorted in a similar order at both ends and the terminal only states the consecutive number corresponding to the chosen challenge. The consecutive numbers which are used  
35       may begin from any number bigger than the maximum non-permissible value (255) of the SPI, e.g. from a value of 300.

      Although the invention was described above with reference to the

examples shown in the appended drawings, it is obvious that the invention is not limited to these, but it can be varied within the scope of the inventive idea presented in the appended claims. For example, the solution according to the invention is not necessarily bound to MIP, but it may be used together with any  
5 protocol of the same kind, wherein the authenticator is transmitted with the aid of one message or even several separate messages and wherein information is transmitted on how the authenticator should be formed. Thus, the invention is not either necessarily bound to an IP network. Nor is authentication necessarily performed in connection with registration. The implementation of the  
10 identification module (SIM) may also vary, but it must form the response in the same way as is done in a mobile communications network, for the comparison to be possible.

### Claims

1. Authentication method for a telecommunications network, especially for an IP network, the method including the steps of
- transmitting from a terminal (TE1) to the network an authenticator
  - 5 and a data unit (SPI) containing information relating to the manner in which the authenticator is formed, and
  - in the network, determining a check value by means of the data unit, the check value being compared with the said authenticator,
  - characterized by
  - 10 - using such an identification unit in the terminal of the network which receives a challenge as input from which it is possible to determine a response and a key essentially in the same way as in the subscriber identification module of a known mobile communications system,
  - generating a set of subscriber-specific authentication data blocks
  - 15 into the network, each data block containing a challenge, a response and a key, whereby the generation is performed in the same manner as in the said mobile communications system,
  - transmitting at least some of the challenges contained in the authentication data blocks to the terminal,
  - 20 - choosing one of the challenges for use in the terminal, and based on this challenge, determining a response and a key to be used with the aid of the subscriber identity module of the terminal,
  - notifying the network with the aid of the said data unit of which key corresponding to which challenge was chosen, and
  - 25 - determining the authenticator and the said check value with the aid of the chosen key.
2. Method as defined in claim 1, characterized in that the data unit is the SPI (Security Parameter Index) in the registration message of the Mobile IP protocol.
- 30 3. Method as defined in claim 1 or 2, characterized in that the value of the response determined at the terminal is inserted into the data unit.
4. Method as defined in claim 1, characterized in that the challenges are sorted in an order at the terminal with the aid of predetermined sorting criteria and a consecutive number corresponding to the chosen challenge is inserted into the data unit.
- 35 5. Method as defined in claim 1, characterized in that the identification unit used in the terminal is the subscriber identity module SIM

used by the known GSM system and the said authentication data blocks are authentication triplets used by the GSM system.

6. Method as defined in claim 5, c h a r a c t e r i z e d in that the authentication triplets are fetched from the authentication centre AuC of the  
5 GSM system.

7. Method as defined in claim 6, c h a r a c t e r i z e d in that the challenges to be transmitted to the terminal are transmitted by using a known short message switching service.

8. Method as defined in claim 1, c h a r a c t e r i z e d in that the  
10 challenges to be transmitted to the terminal are transmitted in an IP datagram to be sent through an IP network.

9. Method as defined in claim 1 for an IP network, c h a r a c t e r i z e d in that the authentication data blocks are transmitted to the home agent of the terminal and with the aid of the said data unit a mes-  
15 sage is given to the home agent about which key corresponding to which challenge was chosen, whereby the said check value is determined in the home agent.

10. Authentication system for a telecommunications network, espe-  
cially for an IP network, the system including

20 - in a terminal (TE1) of the network, first message transmission means (MEB) for transmitting an authenticator and a data unit (SPI) to the network, the data unit including information relating to the manner in which the authenti-  
cator is formed, and

- checking means (HA) for determining a check value with the aid of  
25 the data unit,

c h a r a c t e r i z e d in that

- the terminal of the network includes such an identification unit, which receives as input a challenge from which a response and a key can be defined essentially in the same manner as in the subscriber identity module of a known  
30 mobile communications system,

- the system contains generating means (HLR/AuC) for generating authentication data blocks in the same manner as in the said mobile commu-  
nications system, the authentication data blocks being such that each of them contains a challenge, a response and a key,

35 - the system includes transmission means for transmitting challenges contained by the authentication data blocks to the terminal,

- the terminal includes selection means (SB) for selecting one chal-

lenge for use,

- the first message transmission means (MEB) insert such a value into the said data unit which indicates which key corresponding to which challenge was selected for use in the terminal, and

5       - the first message transmission means (MEB) determine the authenticator and the checking means determine the said check value based on the selected key.

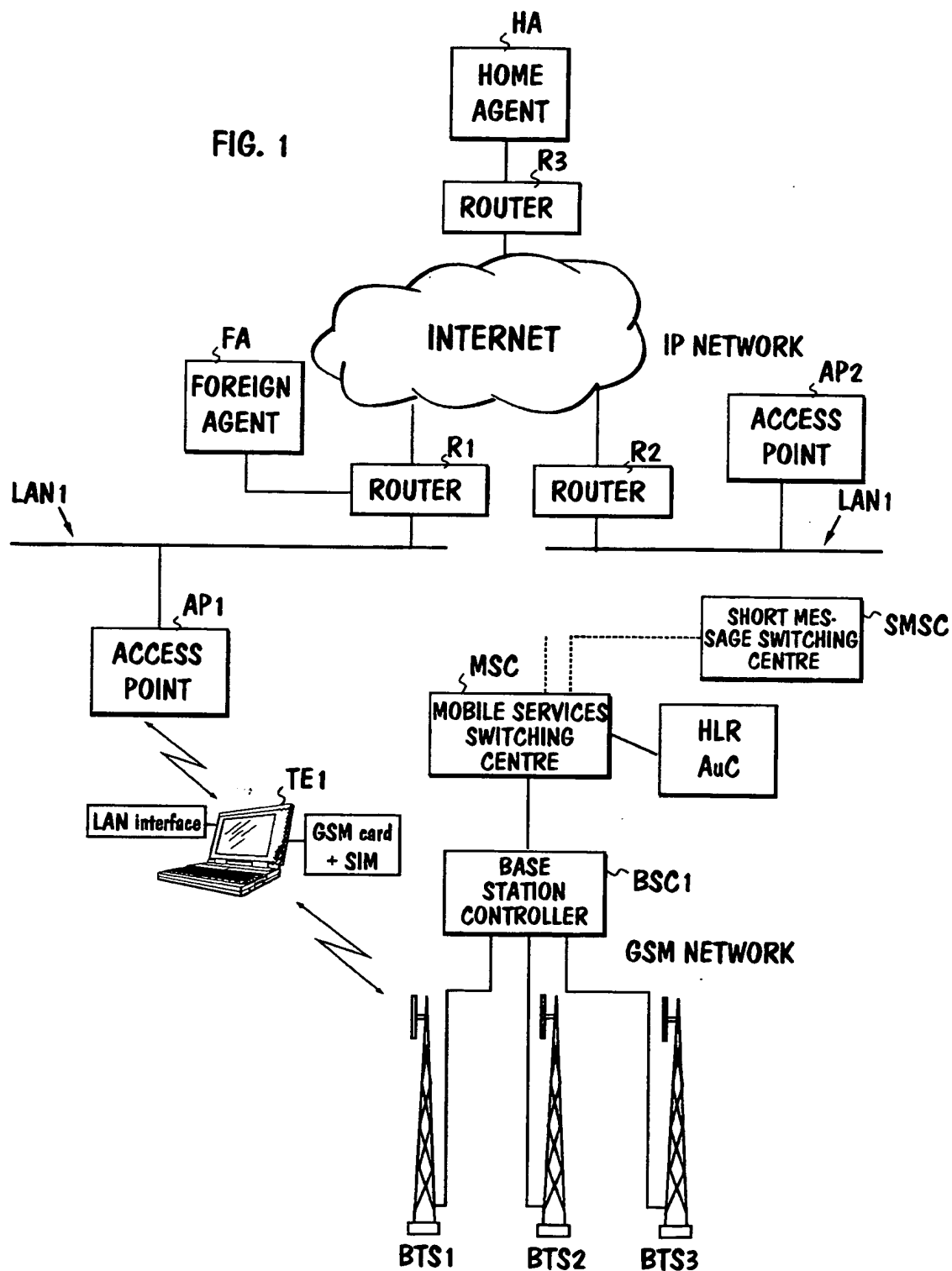
11. System as defined in claim 10, c h a r a c t e r i z e d in that the identification unit located in connection with the terminal is a subscriber identity  
10       module SIM used in the GSM mobile communications system.

12. System as defined in claim 10, c h a r a c t e r i z e d in that the said generating means include an authentication centre AuC of the GSM mobile communications system.

13. System as defined in claim 10, c h a r a c t e r i z e d in that the  
15       said transmission means include means (SMSC) carrying out a known short message switching service.



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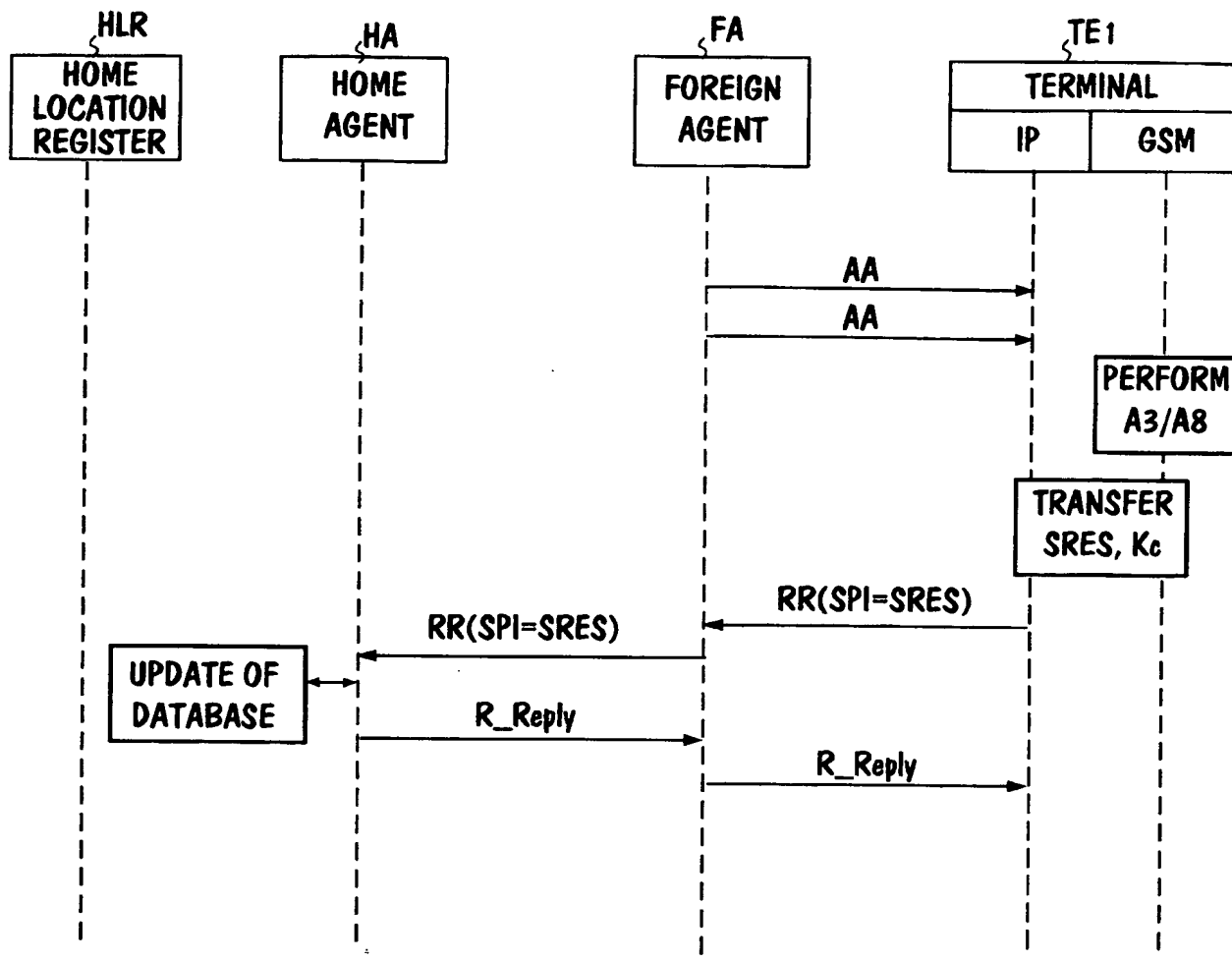


FIG. 2

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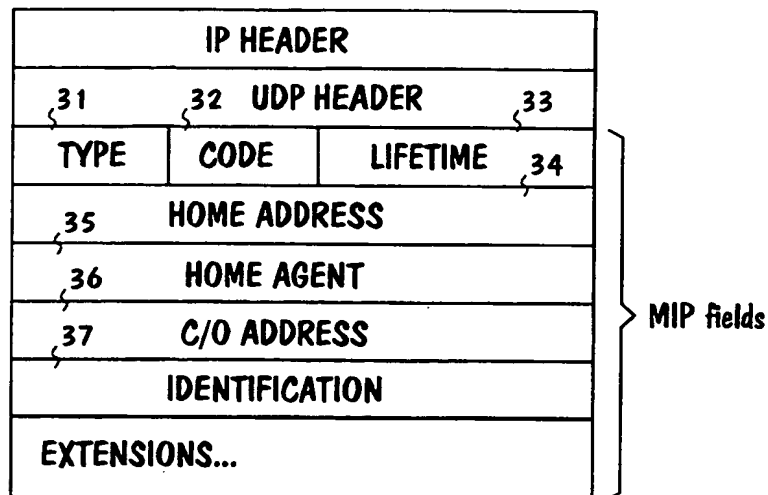


FIG. 3

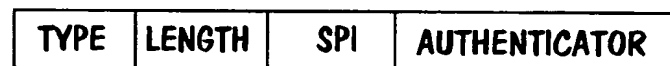


FIG. 4

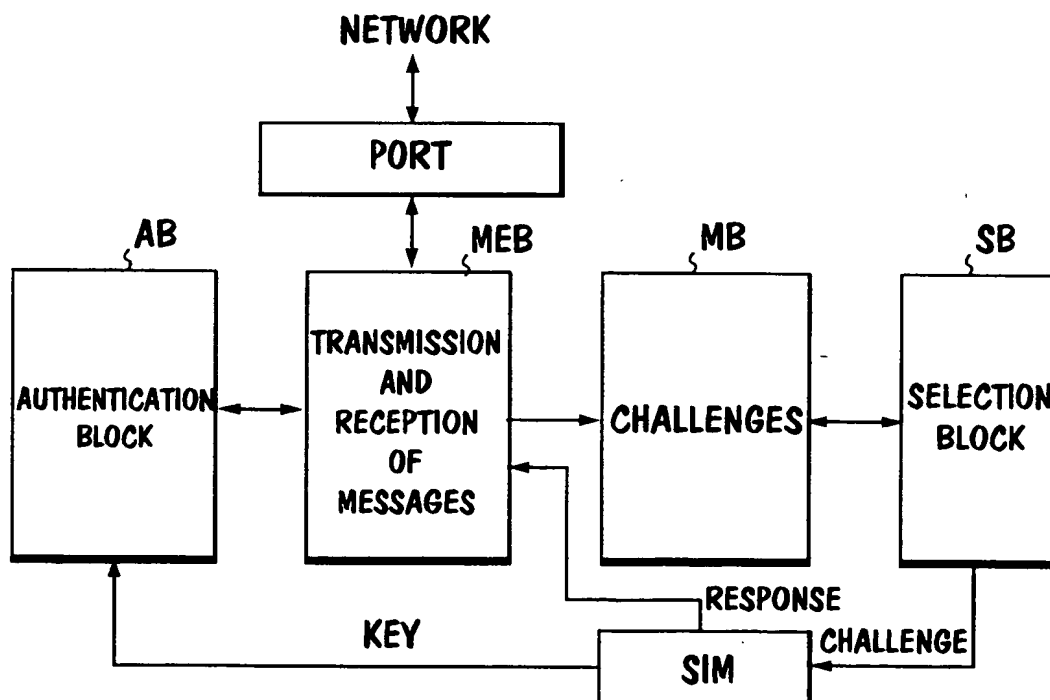


FIG. 5